



## CALL FOR THE EXTRAORDINARY PHD AWARDS

Resolution of the Office of the Chancellor of 30 May 2023 that opens the call for the extraordinary PhD awards.

The extraordinary PhD award is a distinction granted by the University of the Balearic Islands to spotlight the authors of PhD theses whose quality, impact and outreach in their respective spheres of knowledge are deemed to have remarkable quality.

Using the powers granted to it by Organic Law 6/2001 of 21<sup>st</sup> December on Universities and the Statutes of the University approved by Decree 64/2010 of 14<sup>th</sup> May (BOIB of 22<sup>nd</sup> May), and in compliance with what is set out in Article 34 of Regulatory Agreement 13084/2019 of 10<sup>th</sup> April that approves the Organisation of PhD Programmes at the University of the Balearic Islands, the Office of the Chancellor

### HAS DECIDED TO

One. Open the call for the extraordinary PhD awards at the University of the Balearic Islands.

Two. Approve the terms and conditions set out in Appendix I of this call.

Three. Approve the period for theses that may vie for the award in this call, as well as the specific criteria for each programme and the panels that will assess candidate submissions, which are set out in the appendices to this call.

This call and the records deriving from it may be contested in accordance with what is set out in Act 39/2015 of 1<sup>st</sup> October on the Common Administrative Procedure for Public Administrations.

In witness whereof and for all relevant purposes, I publicly circulate this document.

Palma, on the date stated in the electronic signature

The Chancellor,

Jaume Carot,

## **Appendix I.**

### **Terms and Conditions of the Call**

#### **1. Purpose**

This call shall be governed by Regulatory Agreement 13084/2019 of 10<sup>th</sup> April that approves the Organisation of PhD Programmes at the University of the Balearic Islands and by specific criteria set by the Academic Committee for the PhD Programme.

The period for theses that may vie for the award in this call, as well as the criteria set by each academic committee and the members of the panel that will assess candidates, are set out in the appendices.

#### **2. Recipients**

- a) PhD awardees who have taken their PhD viva in the two years/period stated in the corresponding appendices and been awarded a mark of 'excellent cum laude' may take part in this call
- b) Candidates must have submitted the documentation set out in the call within the deadline and as per the appropriate method
- c) PhD awardees who comply with the set requirements but fail to submit their candidature within the deadline set out in the corresponding call will not qualify for the corresponding extraordinary award call nor take part in subsequent calls.

#### **3. Formalising Candidature Submissions**

The individuals who wish to take part in this call shall formalise their candidature and submit the required documentation over the application used for this purpose ([https://postgrau.uib.es/premio\\_extraordinario](https://postgrau.uib.es/premio_extraordinario)).

The period for submissions shall be open from 15 Juny to 15 July 2023.

Only merits attained up to two years after the PhD viva may be taken into consideration.

## **4. Candidature Procedure and Assessment Committee**

After the period for submissions has closed, the Doctoral School shall publish the provisional list of candidates vying for the extraordinary award in this call on its website.

Any appeals deemed appropriate may be lodged within the following ten working days.

After the appeals are resolved, where applicable, the Doctoral School shall publish the final list of candidates that may vie for the extraordinary award on its website and send the candidatures and submitted documentation from the PhD awardees to the appointed assessment panel, considering any recommendations made by the academic committee.

## **5. Award Decision**

The assessment committee appointed by the Academic Committee for the PhD Programme shall assess the submitted candidatures.

The assessment committee shall receive each candidate's documentation for evaluation. The panel shall set the date and time for an online meeting where the winner of the extraordinary PhD award shall be proposed. The panel shall set this out in a reasoned report on the extraordinary award proposal using a template established for this purpose. This report shall be digitally signed by all panel members.

The committees may decide not to grant awards to any candidate. The awards may not be assigned to other PhD programmes or different academic years.

After the final proposal has been made, it must be ratified by the Executive Board of the Doctoral School, which shall issue a resolution and submit it to the Executive Council for approval.

After approval from the Executive Council, the proposal shall be submitted to the Governing Council which will, where applicable, approve the decision to grant the extraordinary award.

An appeal for reconsideration of this agreement may be lodged within a one-month deadline, starting from the day after the decision is published, or within a two-month

deadline by directly lodging an administrative appeal, also starting from the day after the decision is published, with the regulatory bodies for administrative law.

The extraordinary award decision shall be made public on the Doctoral School [website](#).

Winning candidates of the extraordinary PhD award are exempted from paying the fees for the corresponding degree certificate or, where applicable, qualify for a refund thereof ([Article 8 of Final Disposition Six in Act 19/2019 of 30<sup>th</sup> December on the 2020 General Budget of the Autonomous Region of the Balearic Islands](#)).

## 6. Personal Data

The personal data submitted by the interested parties may be included in an automated file constituting the student management database, in accordance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27<sup>th</sup> April 2016 and Organic Law 3/2018 of 5<sup>th</sup> December on Personal Data Protection and Guarantee of Digital Rights.

### 6.1. Data Controller

University of the Balearic Islands Data Protection Officer Son Lledó Building, ctra. de Valldemossa, km 7.5 07122 Palma. Tel.: 971 25 97 93. E-mail: <[dpo@uib.cat](mailto:dpo@uib.cat)>.

### 6.2. Processing Purposes and Legal Grounds

In compliance with what is set out in Regulation (EU) 2016/679 of the European Parliament and of the Council of 27<sup>th</sup> April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and Organic Law 3/2018, of 5<sup>th</sup> December, on Personal Data Protection and Guarantee of Digital Rights, the personal data supplied in this procedure shall be incorporated into the relevant information systems of the University of the Balearic Islands for managing and processing the participation candidature for the award call, in accordance with what is set out in Regulatory Agreement 13084 of 10<sup>th</sup> April 2019 that approves the Regulation on the Organisation of PhD Programmes at the University of the Balearic Islands, and the specific criteria set by the Academic Committee for the PhD Programme.

### 6.3. Data Source

The University of the Balearic Islands shall solely process the data supplied by the candidate.

#### 6.4. Personal Data Recipients

Within the framework of the relationship established to submit candidatures to the call, it is hereby stated that only strictly necessary data shall be transferred in the instances and for the purposes below:

- Publication of the award decision in 2023
- Additionally, for informative purposes, the decision may be published on webpages housed under the official domain of the University of the Balearic Islands.

#### 6.5. Data Preservation Period

The data shall be preserved for the time that is strictly necessary to comply with the purpose for which they were obtained and to determine any possible liability that may arise from this purpose and data processing. What is set out in the archives and documentation regulations applies.

#### 6.6. Rights

The individuals who provide their data have the right to request the data controller grant access to said personal data, rectification or erasure, restriction of processing or to object thereto, as well as data portability.

The interested parties may exercise their rights of access, rectification, erasure, portability, restriction and to object to the processing of data, as well as the right to not be subject to decisions based solely on the automated processing thereof. In order to exercise these rights, they must contact (in writing): the University of the Balearic Islands; Office of the Secretary General; F.A.O.: Data Protection Officer; cra. de Valldemossa, km 7.5, 07122 Palma (Balearic Islands) or send an e-mail to <dpo@uib.es>.

#### 6.7. Right to Submit a Complaint to a Supervisory Authority

Interested parties also have the right to submit a complaint to the supervisory body: <<https://www.aepd.es>>. In turn, interested parties may contact the following e-mail address <[dpo@uib.es](mailto:dpo@uib.es)> for any information, suggestion, request to exercise rights and for amicable resolution of disputes regarding personal data protection, notwithstanding the right to submit a complaint to the relevant supervisory authority.

#### 6.8. University of the Balearic Islands Privacy Policies

Our privacy policies may be viewed at:

<<https://seu.uib.cat/Serveis/LOPDGDD/Politica-Privacitat/Enllac-Politica-de-privacitat.cid576221>>.

## Appendix II

### PhD in Translational Research in Public Health and High Prevalence Diseases

#### 1. Period

All theses on the PhD in Translational Research in Public Health and High Prevalence Diseases whose vivas were held in the 2017-2019 period—i.e. during the 2017-18 and 2018-19 academic years—may be submitted for this extraordinary PhD award call.

#### 2. Assessment criteria

The assessment criteria set by the academic committee are as follows:

##### 1. Research Grants Linked to the PhD Thesis

Only one item may be selected.

- FPI: 2 points
- CAIB, PFIS: 2.5 points
- FPU: 3 points
- Pre-doctoral grants from public organisations linked to the EU or highly prestigious competitive international pre-doctoral grants: 3 points
- Competitive pre-doctoral grants from La Caixa or other external organisations (banks, private foundations): 0.5 points per grant (lasting for at least one year).
- Pre-doctoral contracts linked to a project with public centres or businesses, collaboration grants with a duration of one year or similar (0.2 points per grant and year): maximum 0.5 points.

##### 2. Research Activity

Original **scientific publications** linked to the PhD thesis published between the enrolment date and up to two years after the viva defence was held. The thesis supervisor will have to confirm this link.

\* Given that scientific activity currently has a cross-disciplinary scope, and that this leads to articles being published across science publishing groups with highly different impact factors, it is hereby proposed that the following grading scale be consistently applied, so as to standardise assessment and avoid unacceptable comparisons.

Original scientific publications. The scores below must be added and included (ANECA criteria):

- Publications in journals in the first quartile for their subject group: 3 points (considering year of publication and JCR reports section)
- Publications in journals in the second quartile for their subject group: 1.5 points (considering year of publication and JCR reports section)
- Publications in journals in the third quartile for their subject group: 0.5 points (considering year of publication and JCR reports section).

**Conferences, oral presentations and posters linked to the thesis** (from the enrolment date up to two years after the viva defence was held). The thesis supervisor will have to confirm this link.

- Oral presentations at international conferences: 0.5 points per conference
- Oral presentations at national conferences: 0.25 points per conference
- Posters at conferences: 0.1 points per presentation (maximum 1 point)
- International conference (as a keynote speaker): 1 point
- National conference (as a keynote speaker): 0.75 points.

### **Technology transfer**

- Registered patents and other products with registered intellectual property: 0.5 points per national patent, 3 points per international patent and 5 points per transferred patent
- Contracts with businesses: 0.5 points per contract.

\* The outcome of the research at the university or business must be directly linked to the PhD thesis and duly certified by the thesis supervisor.

### **3. Other Merits Linked to the PhD Thesis**

- European or International PhD Mention: 0.5 points
- Awards from other institutions: 0.25 points per award
- Stays overseas or with other national groups (during the thesis research period): 0.25 points per month of stay and up to a maximum of 1 point (theses undertaken as part of an agreement between two centres where stays are established in said agreement are excluded from this assessment)
- Participation in national and international projects (0.1 and 0.5 points, respectively).

### **4. Other Merits Linked to Training**

- Languages: 0.2 points for an English language certificate above B2 level
- Publications in international journals where doctorands have made a contribution, but which are not integral parts of their PhD theses: a maximum of 2 points (which will be assessed as per what is set out above in the publications section, with half of the marks established therein given for each publication).

### **Final Provision**

In the event of a tie, the Committee shall set appropriate criteria to select the best candidatures.

### **3. Assessment Panel**

The assessment panel for this call appointed by the Doctoral School comprises the following members:

- Dr Miquel Fiol Sala. Deputy Director. University Institute for Research into Health Sciences (IUNICS)
- Dr Margalida Frontera Borruero. Infrastructure and Laboratories Coordinator. Balearic Islands Health Research Institute
- Dr Antoni Gayà Puig. Technical Director of the Tissue Bank. Balearic Islands Blood and Tissue Bank Foundation.

Alternates:

- Dr Antoni Gelabert Mas. Full Professor of Surgery (Urology). Faculty of Life Sciences, UAB-UPF. Campus del Mar
- Dr Núria Vilaboa Díaz. I2 researcher for the Region of Madrid at the Hospital Universitario La Paz.

Where any of the causes set out in Article 23 of Act 40/2015 of 1<sup>st</sup> October on the Legal Framework of the Public Sector apply, panel members shall have to abstain from taking part in the selection process and, where applicable, notify the Vice Chancellor of the University of the Balearic Islands.

The chair may request panel members submit a specific statement confirming that none of the circumstances set out in the aforementioned paragraph apply to them.

In turn, candidates may challenge the inclusion of panel members in accordance with Article 24 of Act 40/2015 of 1<sup>st</sup> October on the Legal Framework of the Public Sector.