

## CALL FOR THE EXTRAORDINARY PHD AWARDS

Resolution of the Office of the Vice-Chancellor of 23 May 2023 that opens the call for the extraordinary PhD awards.

The extraordinary PhD award is a distinction granted by the University of the Balearic Islands to spotlight the authors of PhD theses whose quality, impact and outreach in their respective spheres of knowledge are deemed to have remarkable quality.

Using the powers granted to it by Organic Law 6/2001 of 21<sup>st</sup> December on Universities and the Statutes of the University approved by Decree 64/2010 of 14<sup>th</sup> May (BOIB of 22<sup>nd</sup> May), and in compliance with what is set out in Article 34 of Regulatory Agreement 13084/2019 of 10<sup>th</sup> April that approves the Organisation of PhD Programmes at the University of the Balearic Islands, the Office of the Vice Chancellor

#### HAS DECIDED TO

One. Open the call for the extraordinary PhD awards at the University of the Balearic Islands.

Two. Approve the terms and conditions set out in Appendix I of this call.

Three. Approve the period for theses that may vie for the award in this call, as well as the specific criteria for each programme and the panels that will assess candidate submissions, which are set out in the appendices to this call.

This call and the records deriving from it may be contested in accordance with what is set out in Act 39/2015 of 1<sup>st</sup> October on the Common Administrative Procedure for Public Administrations.

In witness whereof and for all relevant purposes, I publicly circulate this document.

Palma, on the date stated in the electronic signature
The Vice Chancellor,
Jaume Carot,



## Appendix I.

## **Terms and Conditions of the Call**

# 1. Purpose

This call shall be governed by Regulatory Agreement 13084/2019 of 10<sup>th</sup> April that approves the Organisation of PhD Programmes at the University of the Balearic Islands and by specific criteria set by the Academic Committee for the PhD Programme.

The period for theses that may vie for the award in this call, as well as the criteria set by each academic committee and the members of the panel that will assess candidates, are set out in the appendices.

# 2. Recipients

- a) PhD awardees who have taken their PhD viva in the two years/period stated in the corresponding appendices and been awarded a mark of 'excellent cum laude' may take part in this call
- b) Candidates must have submitted the documentation set out in the call within the deadline and as per the appropriate method
- c) PhD awardees who comply with the set requirements but fail to submit their candidature within the deadline set out in the corresponding call will not qualify for the corresponding extraordinary award call nor take part in subsequent calls.

# 3. Formalising Candidature Submissions

The individuals who wish to take part in this call shall formalise their candidature and submit the required documentation over the application used for this purpose (https://postgrau.uib.es/premio\_extraordinario).

The period for submissions shall be open from 1st Juny to 30st Juny 2023.

Only merits attained up to two years after the PhD viva may be taken into consideration.



## 4. Candidature Procedure and Assessment Committee

After the period for submissions has closed, the Doctoral School shall publish the provisional list of candidates vying for the extraordinary award in this call on its website.

Any appeals deemed appropriate may be lodged within the following ten working days.

After the appeals are resolved, where applicable, the Doctoral School shall publish the final list of candidates that may vie for the extraordinary award on its website and send the candidatures and submitted documentation from the PhD awardees to the appointed assessment panel, considering any recommendations made by the academic committee.

## 5. Award Decision

The assessment committee appointed by the Academic Committee for the PhD Programme shall assess the submitted candidatures.

The assessment committee shall receive each candidate's documentation for evaluation. The panel shall set the date and time for an online meeting where the winner of the extraordinary PhD award shall be proposed. The panel shall set this out in a reasoned report on the extraordinary award proposal using a template established for this purpose. This report shall be digitally signed by all panel members.

The committees may decide not to grant awards to any candidate. The awards may not be assigned to other PhD programmes or different academic years.

After the final proposal has been made, it must be ratified by the Executive Board of the Doctoral School, which shall issue a resolution and submit it to the Executive Council for approval.

After approval from the Executive Council, the proposal shall be submitted to the Governing Council which will, where applicable, approve the decision to grant the extraordinary award.

An appeal for reconsideration of this agreement may be lodged within a one-month deadline, starting from the day after the decision is published, or within a two-month



deadline by directly lodging an administrative appeal, also starting from the day after the decision is published, with the regulatory bodies for administrative law.

The extraordinary award decision shall be made public on the Doctoral School website.

Winning candidates of the extraordinary PhD award are exempted from paying the fees for the corresponding degree certificate or, where applicable, qualify for a refund thereof (Article 8 of Final Disposition Six in Act 19/2019 of 30<sup>th</sup> December on the 2020 General Budget of the Autonomous Region of the Balearic Islands).

#### 6. Personal Data

The personal data submitted by the interested parties may be included in an automated file constituting the student management database, in accordance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27<sup>th</sup> April 2016 and Organic Law 3/2018 of 5<sup>th</sup> December on Personal Data Protection and Guarantee of Digital Rights.

#### 6.1. Data Controller

University of the Balearic Islands Data Protection Officer Son Lledó Building, ctra. de Valldemossa, km 7.5 07122 Palma. Tel.: 971 25 97 93. E-mail: <dpo@uib.cat>.

## 6.2. Processing Purposes and Legal Grounds

In compliance with what is set out in Regulation (EU) 2016/679 of the European Parliament and of the Council of 27<sup>th</sup> April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and Organic Law 3/2018, of 5<sup>th</sup> December, on Personal Data Protection and Guarantee of Digital Rights, the personal data supplied in this procedure shall be incorporated into the relevant information systems of the University of the Balearic Islands for managing and processing the participation candidature for the award call, in accordance with what is set out in Regulatory Agreement 13084 of 10<sup>th</sup> April 2019 that approves the Regulation on the Organisation of PhD Programmes at the University of the Balearic Islands, and the specific criteria set by the Academic Committee for the PhD Programme.

#### 6.3. Data Source

The University of the Balearic Islands shall solely process the data supplied by the candidate.



## 6.4. Personal Data Recipients

Within the framework of the relationship established to submit candidatures to the call, it is hereby stated that only strictly necessary data shall be transferred in the instances and for the purposes below:

- Publication of the award decision in 2023
- Additionally, for informative purposes, the decision may be published on webpages housed under the official domain of the University of the Balearic Islands.

#### 6.5. Data Preservation Period

The data shall be preserved for the time that is strictly necessary to comply with the purpose for which they were obtained and to determine any possible liability that may arise from this purpose and data processing. What is set out in the archives and documentation regulations applies.

## 6.6. Rights

The individuals who provide their data have the right to request the data controller grant access to said personal data, rectification or erasure, restriction of processing or to object thereto, as well as data portability.

The interested parties may exercise their rights of access, rectification, erasure, portability, restriction and to object to the processing of data, as well as the right to not be subject to decisions based solely on the automated processing thereof. In order to exercise these rights, they must contact (in writing): the University of the Balearic Islands; Office of the Secretary General; F.A.O.: Data Protection Officer; cra. de Valldemossa, km 7.5, 07122 Palma (Balearic Islands) or send an e-mail to <dpo@uib.es>.

## 6.7. Right to Submit a Complaint to a Supervisory Authority

Interested parties also have the right to submit a complaint to the supervisory body: <a href="https://www.aepd.es">https://www.aepd.es</a>. In turn, interested parties may contact the following e-mail address <a href="mailto:dpo@uib.es">dpo@uib.es</a> for any information, suggestion, request to exercise rights and for amicable resolution of disputes regarding personal data protection, notwithstanding the right the submit a complaint to the relevant supervisory authority.

## 6.8. University of the Balearic Islands Privacy Policies

Our privacy policies may be viewed at:

<a href="https://seu.uib.cat/Serveis/LOPDGDD/Politica-Privacitat/Enllac-Politica-de-privacitat.cid576221">https://seu.uib.cat/Serveis/LOPDGDD/Politica-Privacitat/Enllac-Politica-de-privacitat.cid576221</a>.



# **Appendix II**

# **PhD in Information and Communications Technology**

#### 1. Period

All theses on the PhD in Information and Communications Technology whose vivas were held in the 2016-2019 period —i.e. during the 2016-17, 2017-18 and 2018-19 academic years—may be submitted for this extraordinary PhD award call.

### 2. Assessment Criteria

The assessment criteria established by the academic committee are as follows:

After the award call is published, all candidates must submit the list of publications/research findings linked to the thesis to be assessed, alongside the supporting documentation for the merits corresponding to each item on the list, within the deadline period established for this purpose. Candidates may have attained these merits up to the date on which they submit the documentation, although only those granted up to two years after the PhD viva may be taken into consideration.

Each item on the list must comprise:

The necessary bibliographic information to find the publication. Where the publication cannot be accessed online, the committee may request candidates submit their manuscripts. Failure to submit the requested manuscripts shall lead to the relevant items being removed from the list.

A reasoned report, not exceeding half a page, setting out the following:

- The publication's contribution
- The candidate's contribution to the publication, and how it is linked to the thesis (chapter, section, etc.), duly certified with the thesis supervisor(s) signature(s).

On the basis of the written documentation for each publication and each member's point of view, the committee shall decide which score it grants as per the following scale:

- The publication solely sets out findings from the thesis: 1 point



- The publication comprises several contributions, some of which are linked to the thesis: 0.5 points
- The publication is not linked to the thesis: 0 points.

In the event of a tie, the committee may set additional criteria to select the best candidatures.

Grading scale for the extraordinary PhD awards for the PhD in Information and Communications Technology:

- Books: international publishers, 3 points; national publishers, 1.5 points (editions of books, such as conference proceedings, will not be considered)
- Book chapters (conference proceedings not included): international publishers, 1.5
   points; national publishers, 0.75 points
- Publications in JCR-indexed journals (where the ranking has not yet been published, the one from the previous year shall be used): Q1, 3 points; Q2, 2 points; Q3, 1 point; Q4, 0.75 points
- Publications in non-JCR-indexed journals (the database(s) where the journal is indexed must be specified): maximum 0.5 points
- Publications at conferences and workshops:
  - Full-length publications (full paper, regular paper, etc.): international conferences,
     0.5 points; national conferences,
  - Short-length publications (short paper, abstract, work in progress paper, poster, etc.): international conferences, 0.25 points; national conferences, 0.1 points
- Patents linked to the PhD thesis (the patent's status must be specified): maximum 2 points per patent
- Awards for scientific production linked to the thesis (the type of award must be specified: best article in a journal volume, best paper at a conference, best poster, etc.):
   same scale as for the publication itself (i.e. the publication's score is doubled).



\* The international/national distinction does not refer to the place where the event was held, but to the scope of dissemination.

### 3. Assessment Panel

The assessment panel appointed by the Doctoral School for this call comprises the following members:

- Dr Pedro J. Sanz Valero, full professor at the Department of Engineering and Computer Science, University Jaume I (Castellón)
- Dr Enrique A. Sánchez Pérez, full professor at the Department of Applied Mathematics, Polytechnic University of Valencia (UPV)
- Dr Arnau Oliver i Malagelada, associate lecturer at the Department of Computer Architecture and Technology, University of Girona.

Where any of the causes set out in Article 23 of Act 40/2015 of 1<sup>st</sup> October on the Legal Framework of the Public Sector apply, panel members shall have to abstain from taking part in the selection process and, where applicable, notify the Vice Chancellor of the University of the Balearic Islands.

The chair may request panel members submit a specific statement confirming that none of the circumstances set out in the aforementioned paragraph apply to them.

In turn, candidates may challenge the inclusion of panel members in accordance with Article 24 of Act 40/2015 of 1st October on the Legal Framework of the Public Sector.